

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language ☒ English ☐ Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L24231KA1979PLC003563

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCA1722B

(ii) (a) Name of the company

ASTRAZENECA PHARMA INDIA

(b) Registered office address

BLOCK N1, 12TH FLOOR,MANYATA EMBASSY BUSINESS PARK
RACHENAHALLI, OUTER RING ROAD
BANGALORE
Bangalore
Karnataka
560045

(c) *e-mail ID of the company

comp.secy@astrazeneca.com

(d) *Telephone number with STD code

08067748000

(e) Website

(iii) Date of Incorporation

11/07/1979

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

☒ Yes

☐ No

(vi) *Whether shares listed on recognized Stock Exchange(s)

☒ Yes

☐ No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	NATIONAL STOCK EXCHANGE OF INDIA LIMITED	1,024
2	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U74900TN2015PTC101466

Pre-fill

Name of the Registrar and Transfer Agent

INTEGRATED REGISTRY MANAGEMENT SERVICES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

2nd Floor Kences Towers ,1 Ramakrishna Street
North Usman Road T Nagar

(vii) *Financial year From date 01/04/2022 (DD/MM/YYYY) To date 31/03/2023 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held ☒ Yes ☐ No

(a) If yes, date of AGM

14/08/2023

(b) Due date of AGM

30/09/2023

(c) Whether any extension for AGM granted

☐ Yes ☒ No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

1

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	ASTRAZENECA PHARMACEUTI		Holding	75

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	25,000,000	25,000,000	25,000,000	25,000,000
Total amount of equity shares (in Rupees)	50,000,000	50,000,000	50,000,000	50,000,000

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of equity shares	25,000,000	25,000,000	25,000,000	25,000,000
Nominal value per share (in rupees)	2	2	2	2
Total amount of equity shares (in rupees)	50,000,000	50,000,000	50,000,000	50,000,000

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
Equity shares	Physical	DEMAT	Total			
At the beginning of the year	169,020	24,830,980	25000000	50,000,000	50,000,000	

Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify 						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify 						
At the end of the year	169,020	24,830,980	25000000	50,000,000	50,000,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify 						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

☒ Nil

[Details being provided in a CD/Digital Media]

☐ Yes ☐ No ☐ Not Applicable

Separate sheet attached for details of transfers

☐ Yes ☐ No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		
Date of registration of transfer (Date Month Year)		
Type of transfer		1 - Equity, 2- Preference Shares, 3 - Debentures, 4 - Stock
Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)

Ledger Folio of Transferor							
Transferor's Name							
	Surname		middle name		first name		
Ledger Folio of Transferee							
Transferee's Name							
	Surname		middle name		first name		

Date of registration of transfer (Date Month Year)							
Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock					
Number of Shares/ Debentures/ Units Transferred			Amount per Share/ Debenture/Unit (in Rs.)				
Ledger Folio of Transferor							
Transferor's Name							
	Surname		middle name		first name		
Ledger Folio of Transferee							
Transferee's Name							
	Surname		middle name		first name		

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

10,029,707,540

(ii) Net worth of the Company

5,886,982,999

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	

3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	18,750,000	75	0	
10.	Others	0	0	0	
	Total	18,750,000	75	0	0

Total number of shareholders (promoters)

1

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	4,615,549	18.46	0	
	(ii) Non-resident Indian (NRI)	95,653	0.38	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	375	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	675,231	2.7	0	
7.	Mutual funds	303,883	1.22	0	

8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	371,974	1.49	0	
10.	Others NBFC,AIF,Clearing Member:	187,335	0.75	0	
	Total	6,250,000	25	0	0

Total number of shareholders (other than promoters)

42,145

**Total number of shareholders (Promoters+Public/
Other than promoters)**

42,146

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

76

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
THE EMERGING MARK	CITIBANK N.A. CUSTODY SERVICES			13,074	0.05
EMERGING MARKETS (	CITIBANK N.A. CUSTODY SERVICES			21,038	0.08
EMERGING MARKETS (	CITIBANK N.A. CUSTODY SERVICES			1,609	0.01
UTAH STATE RETIREM	CITIBANK N.A. CUSTODY SERVICES			179	0
CITY OF LOS ANGELES	CITIBANK N.A. CUSTODY SERVICES			418	0
NORTHERN TRUST CO	CITIBANK N.A. CUSTODY SERVICES			240	0
WORLD EX U.S. CORE I	CITIBANK N.A. CUSTODY SERVICES			1,165	0
GOVERNMENT PENSIC	CITIBANK N.A. CUSTODY SERVICES			50,000	0.2
NORTHERN TRUST CO	CITIBANK N.A. CUSTODY SERVICES			4,954	0.02
GYMKHANA PARTNER	CITIBANK N.A. CUSTODY SERVICES			6,500	0.3
EMERGING MARKETS (	CITIBANK N.A. CUSTODY SERVICES			1,043	0
ISHARES MSCI EM SM/	CITIBANK N.A. CUSTODY SERVICES			1,493	0.01
ISHARES CORE MSCI E	CITIBANK N.A. CUSTODY SERVICES			15,516	0.06
ISHARES MSCI INDIA S	CITIBANK N.A. CUSTODY SERVICES			5,829	0.02
ISHARES MSCI EMERG	CITIBANK N.A. CUSTODY SERVICES			2,775	0.01
ISHARES CORE MSCI E	CITIBANK N.A. CUSTODY SERVICES			80,149	0.32
BOFA SECURITIES EUR	CITIBANK N.A. CUSTODY SERVICES			31	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
SPARTAN GROUP TRU	CITIBANK N.A. CUSTODY SERVICES			87	0
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES			181	0
DIMENSIONAL WORLE	CITIBANK N.A. CUSTODY SERVICES			851	0
EMERGING MARKETS I	CITIBANK N.A. CUSTODY SERVICES			345	0
NORTHERN TRUST CO	CITIBANK N.A. CUSTODY SERVICES			396	0
DIMENSIONAL EMERG	CITIBANK N.A. CUSTODY SERVICES			12	0
MERCER QIF FUND PL	CITIBANK N.A. CUSTODY SERVICES			2,408	0.01
ISHARES CORE MSCI E	CITIBANK N.A. CUSTODY SERVICES			456	0

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	1	1
Members (other than promoters)	57,759	42,145
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	2	5	2	5	0	0
(i) Non-Independent	2	2	2	2	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	5	2	5	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
NARAYAN KEELVEED	00053563	Director	0	
REVATHY ASHOK	00057539	Director	0	
SHILPA SHRIDHAR DI	06619353	Director	0	
ANKUSH NANDRA	08737981	Director	0	
WEIYING SARAH WAN	08369289	Director	0	
SANJEEV KUMAR PAN	09823879	Managing Director	0	
RAJESH MARWAHA	01458768	Whole-time directo	0	
MANASA RAMA	BKGPR1096A	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
PRATAP RUDRA BHU	AWDPP9893R	Company Secretar	10/08/2022	Cessation
GAGANDEEP SINGH E	07844333	Managing Director	31/12/2022	Cessation
MANASA RAMA	BKGPR1096A	Company Secretar	11/08/2022	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETING	08/08/2022	52,646	40	76.09

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	26/05/2022	7	7	100
2	08/08/2022	7	6	85.71
3	11/11/2022	7	6	85.71
4	14/12/2022	7	7	100
5	10/02/2023	7	7	100
6	08/03/2023	7	5	71.43

C. COMMITTEE MEETINGS

Number of meetings held

12

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	26/05/2022	3	3	100
2	AUDIT COMM	08/08/2022	3	3	100
3	AUDIT COMM	11/11/2022	3	2	66.67
4	AUDIT COMM	10/02/2023	3	3	100
5	Corporate Soc	02/08/2022	3	3	100
6	Nomination & I	08/08/2022	3	2	66.67
7	Nomination & I	11/11/2022	3	3	100
8	Nomination & I	14/12/2022	3	3	100
9	Nomination & I	08/03/2023	3	2	66.67
10	Stakeholders'	11/11/2022	4	3	75

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	14/08/2023
								(Y/N/NA)
1	NARAYAN KE	6	6	100	8	8	100	Yes
2	REVATHY AS	6	6	100	6	6	100	Yes
3	SHILPA SHRI	6	6	100	6	6	100	Yes
4	ANKUSH NAN	6	4	66.67	4	2	50	No
5	WEIYING SAF	6	4	66.67	6	4	66.67	Yes
6	SANJEEV KU	2	2	100	1	1	100	Yes
7	RAJESH MAR	6	6	100	3	3	100	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL
☐ Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Gagandeep Singh E	MANAGING DIF	48,634,937	0	0	9,328,109	57,963,046
2	Sanjeev Kumar Par	MANAGING DIF	5,119,364	0	0	671,558	5,790,922
3	Rajesh Marwaha	CFO & Director	37,021,190	0	0	5,512,072	42,533,262
	Total		90,775,491	0	0	15,511,739	106,287,230

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Manasa Rama	Company Secre	1,585,232	0	0	90,624	1,675,856
2	Pratap Rudra	Company Secre	2,268,476	0	0	95,588	2,364,064
	Total		3,853,708	0	0	186,212	4,039,920

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year ☒ Yes ☐ No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES ☒ Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

☐ Yes ☒ No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

VIJAYAKRISHNA KT

Whether associate or fellow

☐ Associate ☒ Fellow

Certificate of practice number

980

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

Rajesh Marwaha
Digitally signed by Rajesh Marwaha
Date: 2023.09.27 13:30:37 +05'30'

DIN of the director

01458768

To be digitally signed by

KONILA THIRUMALESWARA
Digitally signed by KONILA THIRUMALESWARA
Date: 2023.09.27 15:37:08 +05'30'

- ☐ Company Secretary
☒ Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach

Attach

Attach

Attach

List of attachments

Committees.pdf
Form_MGT-8.pdf
Clarification letter_2023.pdf
List of Flls as on March 31 2023.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Date: 22.09.2023

To
Registrar of Companies
E Wing, 2nd Floor, Kendriya Sadan,
Kormangala,
Bengaluru - 560034

Subject: Certification of Form MGT-7

Dear Sir

I, Manasa Rama, Company Secretary of ASTRAZENECA PHARMA INDIA LIMITED had obtained my Digital Signature (DSC) as per the name in the Income Tax Database i.e., Manasa Rama. Further, would like to inform you that I have also tried registering my DSC multiple time in the Ministry of Corporate Affairs (MCA) Portal. I have also received email communications from the MCA stating "Congratulations!! MANASA RAMA, you have successfully registered your DSC as a Manager/Secretary with Income tax PAN BKGPR1096A".

However, on the MCA portal the same is being shown as not registered with MCA.

Further, while pre-scrutinizing the MCA form on which my DSC is affixed, an error stating "DSC is not registered against 42348. Please register your DSC" is being shown. Also, please note that the said issue is for V2 E-forms.

Wish to inform you that several service-related complaints have been created on the MCA Portal with respect to the aforementioned issue through the office of Practicing Company Secretaries (PCS). However, the same has not been resolved.

As the due date for filing Annual Return in form MGT 7 is nearing, in order to enable the filing of the Annual return for the Financial Year 2022-23, we have authorised Mr. Vijayakrishna K T, Practising Company Secretary (FCS: 1788 CP: 980) to certify the form MGT-7 of the Company.

Kindly take this on record and oblige.

For AstraZeneca Pharma India Limited

MANASA Digitally signed by
MANASA RAMA
RAMA Date: 2023.09.22
11:28:11 +05'30'

Manasa Rama
Company Secretary
Membership No.: ACS-42348

Committee Meetings

Sl. No.	Type of Meeting	Date of Meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of Members attended	% of attendance
1	Audit Committee	26-05-2022	3	3	100
2	Audit Committee	08-08-2022	3	3	100
3	Audit Committee	11-11-2022	3	2	66.67
4	Audit Committee	10-02-2023	3	3	100
5	Nomination & Remuneration Committee	08-08-2022	3	2	66.67
6	Nomination & Remuneration Committee	11-11-2022	3	3	100
7	Nomination & Remuneration Committee	14-12-2022	3	3	100
8	Nomination & Remuneration Committee	08-03-2023	3	2	66.67
9	Stakeholders Relationship Committee	11-11-2022	4	3	75
10	Corporate Social Responsibility Committee	02-08-2022	3	3	100
11	Risk Management Committee	23-09-2022	5	5	100
12	Risk Management Committee	17-03-2023	5	5	100

Form No. MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of the
Companies (Management and Administration) Rules, 2014]

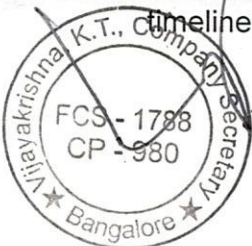
CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of AstraZeneca Pharma India Limited (CIN: L24231KA1979PLC003563) (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made there under for the financial year ended on 31st March, 2023.

In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year, the Company has complied with the provisions of the Act and Rules made there under in respect of:
 1. Its status under the Act;
 2. Maintenance of registers/records and making entries therein within the time prescribed there for;
 3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time;

However, due to the technical hurdles posed by the portal of the Ministry of Corporate Affairs(MCA), the Company could not file the requisite returns under the Companies Act, 2013 with the MCA with regard for the appointment of Mr. Sanjeev Kumar Panchal as the Managing Director of the Company within the prescribed timelines. The Company has however now filed the returns required for the same



the same and is in the process of making the application to Central Government seeking approval for the appointment of the Managing Director.

4. Calling/ convening/ holding meetings of the Board of Directors and its Committees and the Meetings of the Members of the Company on due dates as stated in the Annual Return in respect of which Meetings; proper notices were given and the proceedings including the Circular Resolutions and Resolutions Passed by postal ballot have been properly recorded in the Minute Book/registers maintained for the purpose;
5. Closure of Register of Members/Security holders, as the case may be;
6. The Company has not made any advances/loans to its Directors and/or persons or firms or companies referred in Section 185 of the Act;
7. Contracts/arrangements with Related Parties are as specified in Section 188 of the Act;
8. During the year, there were no instances necessitating issue or allotment or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates during the year.

As part of the regular function, the Company's Registrar and Share Transfer Agent(RTA) handles transfer and transmission of shares based on request received from shareholders, which are as per the Company's records;

9. There were no instances necessitating keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;
10. The Company declared and paid interim and final dividend for the year 2021-2022. Further, there was no instance of transfer of any amount to the Investor Education and Protection Fund in accordance with Section 125 of the Act;
11. Signing of audited financial statement as per the provisions of Section 134 of the Act and report of Directors is as per sub - sections (3), (4) and (5) thereof;



12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them;
13. Appointment/ reappointment/ filling up casual vacancies of the Auditors as per the provisions of Section 139 of the Act;
14. The Company has to seek approval of Central Government for the appointment of Mr. Sanjeev Kumar Panchal as the Managing Director of the Company. The Company is in the process of filing the said application. Other than the above, there were no approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act.
15. There were no instances necessitating acceptance/ renewal/ repayment of deposits during the year;
16. The Company has not made borrowings from its Directors, Members, Public Financial Institutions, Banks and others and as such creation/ modification/ satisfaction of charges in that respect, are not applicable;
17. The Company has not advanced any loans or investments or guarantees or provided any securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act;
18. The Company has not altered its clauses of the Memorandum of Association and Articles of Association of the Company.

Place: Bangalore
Date: 19.09.2023


Vijayakrishna K T
Practising Company Secretary
FCS: 1788 CP: 980
UDIN: F001788E001036503

List of Foreign Portfolio Investors as on March 31, 2023

Sl. No.	NAME	No. of Shares	%	Address	
1	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	13074	0.03	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
2	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP	21038	0.08	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
3	EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	1609	0.01	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
4	UTAH STATE RETIREMENT SYSTEMS	1729	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
5	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	418	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
6	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	240	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
7	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	1165	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
8	GOVERNMENT PENSION FUND GLOBAL	50000	0.20	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
9	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	4954	0.02	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
10	GYMHANA PARTNERS L.P.	6500	0.03	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
11	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	1043	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
12	SHARES MSCI EM SMALL CAP UCITS ETF	1493	0.01	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
13	SHARES CORE MSCI EM IMI UCITS ETF	15516	0.06	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
14	SHARES MSCI INDIA SMALL-CAP ETF	5829	0.02	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
15	SHARES MSCI EMERGING MARKETS SMALL-CAP ETF	2775	0.01	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
16	SHARES CORE MSCI EMERGING MARKETS ETF	80149	0.32	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
17	BDO SECURITIES EUROPE SA	31	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
18	SPARTAN GROUP TRUST FOR EMPLOYEE BENEFIT PLANS SPARTAN TOTAL INTERNATIONAL INDEX POOL	87	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
19	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	181	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
20	DIMENSIONAL WORLD EX U.S. CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST	851	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
21	EMERGING MARKETS EX CHINA CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	345	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
22	NORTHERN TRUST COLLECTIVE EMERGING MARKETS EX CHINA INVESTABLE MARKET INDEX FUND - NON-LEN	396	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
23	DIMENSIONAL EMERGING MARKETS CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST	544	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
24	MERGER QIF FUND PLC-MERGER INVESTMENT FUND 1	2408	0.01	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
25	SHARES CORE MSCI EMERGING MARKETS IMI INDEX ETF	456	0.00	OTIBANK N.A. CUSTODY SERVICES	PIFC- 9TH FLOOR, G BLOCK
26	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	137	0.00	HSBC SECURITIES SERVICES	11TH FLR, BLDG NO.3, NESCO - IT PARK
27	VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND	54	0.00	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
28	TDZANA GMBH	398	0.00	HSBC SECURITIES SERVICES	11TH FLOOR, BLDG 3, NESCO - IT PARK
29	VANGUARD FUNDS PLC / VANGUARD ESG GLOBAL ALL CAP UCITS ETF	126	0.00	HSBC SECURITIES SERVICES	11TH FLOOR, BLDG 3, NESCO - IT PARK
30	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	1319	0.01	HSBC SECURITIES SERVICES	11TH FLOOR, BLDG 3, NESCO - IT PARK
31	CEBL EMERGING MARKETS EQUITY UCITS FUND, A SUB-FUND OF CONNOR, CLARK & LUNN UCITS ICAV	109	0.00	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
32	VANGUARD FUNDS PLC - VANGUARD ESG EMERGING MARKETS ALL CAP UCITS ETF	39	0.00	HSBC SECURITIES SERVICES	11TH FLOOR, BLDG 3, NESCO - IT PARK
33	FUNDPARTNER SOLUTIONS (SUISSE) S.A.-RP- FONDS INSTITUTIONNEL - ACTIONS MARCHES EMERGENTS	72	0.00	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
34	CALIFORNIA STATE TEACHERS RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC 1	5075	0.02	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
35	SPDR PORTFOLIO MSCI GLOBAL STOCK MARKET ETF	374	0.00	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
36	SPDR S AND P EMERGING ASIA-PACIFIC ETF	7113	0.03	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
37	SPDR PORTFOLIO EMERGING MARKETS ETF	50086	0.22	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
38	SPDR S&P EMERGING MARKETS SMALL CAP ETF	5800	0.02	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
39	STATE STREET GLOBAL SMALL CAP EQUITY EX-US INDEX NON-LENDING SERIES FUND	17081	0.07	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
40	STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND	2414	0.01	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
41	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND	1699	0.01	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
42	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	2137	0.01	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
43	CITY OF NEW YORK GROUP TRUST	4726	0.02	HSBC SECURITIES SERVICES 11TH FLOOR	BLDG 3 NESCO IT PARK NESCO COMPLEX
44	ALASKA PERMANENT FUND	503	0.00	DEUTSCHE BANK AG	DB HOUSE, HAZARIMAL SOMANI MARG
45	VANGUARD TOTAL WORLD STOCK INDEX FUND	2814	0.01	DEUTSCHE BANK AG	DB HOUSE, HAZARIMAL SOMANI MARG
46	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	11301	0.05	DEUTSCHE BANK AG	DB HOUSE, HAZARIMAL SOMANI MARG
47	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	19612	0.08	DEUTSCHE BANK AG	DB HOUSE, HAZARIMAL SOMANI MARG
48	UPS GROUP TRUST	14763	0.06	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
49	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCIT	2031	0.01	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
50	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	1288	0.01	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
51	THA-CREF QUANT INTERNATIONAL SMALL-CAP EQUITY FUND	9473	0.04	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
52	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	1051	0.00	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
53	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	1324	0.01	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
54	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	328	0.00	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
55	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	87	0.00	DEUTSCHE BANK AG, DB HOUSE	HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI
56	GOLDMAN SACHS INVESTMENTS (MAURITIUS) LTD	161	0.00	STANDARD CHARTERED BANK	Securities Services, 3rd Floor
57	DFA INTERNATIONAL CORE EQUITY FUND	327	0.00	STANDARD CHARTERED BANK	SECURITIES SERVICES, 3RD FLOOR
58	HEWLETS INDA GROWTH FUND	260	0.00	BNP PARIBAS HOUSE	1 NORTH AVENUE, MAKER MANTY
59	PREMIER INVESTMENT FUND LIMITED	27000	0.11	C/O KOTAK MANINDRA BANK LIMITED	KOTAK INFINITI, BLDG NO.21, 6TH FLR
60	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	85138	0.34	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
61	ABU DHABI INVESTMENT AUTHORITY - MONSOON	10831	0.04	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
62	SHARES EMERGING MARKETS IMI EQUITY INDEX FUND	503	0.00	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
63	FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND	235	0.00	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
64	ABU DHABI INVESTMENT AUTHORITY - XENON	2134	0.01	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
65	PUB EQUITIES EMERGING MARKETS 1	6280	0.03	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
66	PUB EQUITIES EMERGING MARKETS 2	1723	0.01	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
67	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDE	86982	0.35	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
68	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	1075	0.00	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
69	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	16388	0.07	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
70	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	9686	0.04	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
71	VANGUARD ESG INTERNATIONAL STOCK ETF	2527	0.01	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
72	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUS	3409	0.01	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
73	CORTMALL MAURITIUS INVESTMENT LIMITED - NON OD ACCOUNT	19	0.00	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
74	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUS	37528	0.15	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY
75	QUADRATURE CAPITAL VECTOR SP LIMITED	1220	0.00	JPMORGAN CHASE BANK N.A.	INDIA SUB CUSTODY, 6TH FLOOR,
76	INEXFACIT LIMITED	142	0.00	ORBIS FINANCIAL SERVICES LTD	4A, OCUS TECHNOLOGIES
	Total	675281	2.70		